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STATEMENT BY APPLICANT

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Sheet 1 of 1

Application Number 10/811,247

Filing Date March 26, 2004

First Named Inventor Woerber

Art Unit 3624

Examiner Name TBD

Attorney Docket Number 030683CIP

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Marion Williams, "Debt/Equity Hybrids Gain Favor For Raising Capital In Australia," Asian Wall Street Journal, New York, NY, Oct. 28, 1999, p.17.	
		John Downes, "Dictionary of Finance and Investment Terms," Barron's Educational Series, Inc., 1988 (pp. 140 and 481).	
		Anise C. Wallace, "New Investment Trust Combines Risk, Security," Houston Chronicle, Houston, TX, April 14, 1985, p.19.	

Examiner Signature	/Virpi Kanervo/	Date Considered	02/28/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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